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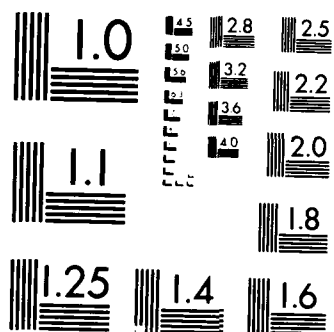
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## COORDINATED SCIENCE LABORATORY

*College of Engineering*

*Applied Computation Theory*

# COMPACT REPRESENTATION OF THE SEPARATING k-SETS OF A GRAPH

Arkady Kanevsky

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UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN

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# Compact Representation of the Separating $k$ -sets of a Graph

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January 1988

## ABSTRACT

We present an  $O(n)$  space representation for the separating  $k$ -sets of an undirected  $k$ -connected graph  $G$  for fixed  $k$ , where  $n$  is the cardinality of the vertex set of  $G$ . Namely, the total space used by the representation is  $O(k^2 \frac{n^2}{k})$ . We also improve the upper bound on the number of separating  $k$ -sets of  $G$  to  $O(2^k \frac{n^2}{k})$ , which has a matching lower bound.

## 1. Introduction

Connectivity is an important graph property and there has been a considerable amount of work on algorithms for determining connectivity of graphs [BeX,Ev2,EvTa,Ga,GiSo,LiLoWi]. An undirected graph  $G=(V,E)$  is  $k$ -connected if for any subset  $V'$  of  $k-1$  vertices of  $G$  the subgraph induced by  $V-V'$  is connected [Ev]. A subset  $V'$  of  $k$  vertices is a *separating  $k$ -set* for  $G$  if the subgraph induced by  $V-V'$  is not connected. For  $k=1$  the set  $V'$  becomes a single vertex which is called an *articulation point*, and for  $k=2,3$  the set  $V'$  is called a *separating pair* and a *separating triplet*, respectively. Efficient algorithms are available for finding all separating  $k$ -sets in  $k$ -connected undirected graphs for  $k \leq 3$  [Ta,HoTa,MiRa,KaRa].

In [KaRa2,Ka] we addressed the question of the maximum number of separating pairs, triplets and  $k$ -sets in biconnected, triconnected and  $k$ -connected undirected graphs, respectively?

An undirected graph  $G$  on  $n$  vertices has a trivial upper bound of  $\binom{n}{k}$  on the number of separating  $k$ -

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sets,  $k \geq 1$ . The graph that achieves this bound for all  $k$  is a graph on  $n$  vertices without any edges. For  $k=1$  the maximum number of articulation points in a *connected* graph is  $(n-2)$  and a graph that achieves it is a path on  $n$  vertices. For  $k=2$  the maximum number of separating pairs in an undirected biconnected graph is  $\frac{n(n-3)}{2}$  and a graph that achieves it is a cycle on  $n$  vertices [KaRa2]. Further, we observed that there is an  $O(n)$  representation for the separating pairs in any biconnected graph (although the number of such pairs could be  $\Theta(n^2)$ ) [KaRa2]. For  $k=3$  the maximum number of separating triplets in a triconnected graph is  $\frac{(n-1)(n-4)}{2}$  and we presented a graph, namely the *wheel* [Tu], that achieves it [KaRa2]. The number of separating  $k$ -sets in a  $k$ -connected graph is  $O(3^k n^2)$  and we show that the bound is tight up to the constant [Ka]. The lower bound on the number of separating  $k$ -sets in a  $k$ -connected undirected graph is  $\Omega(2^k \frac{n^2}{k^2})$ .

In this paper we present a linear representation of separating  $k$ -sets in  $k$ -connected undirected graphs. For  $k=2$  representation is different from the one presented in [KaRa2]. We also give the alternative prove of the upper bound on the number of separating  $k$ -sets, which match the previous upper bounds for  $k=2$  and  $k=3$ , and improves the upper bound for general  $k$  to  $O(2^k \frac{n^2}{k})$ . We will first present representation for  $k=2$  and  $k=3$  and then generalized the technique for general  $k$ .

## 2. Graph-theoretic definitions

An *undirected graph*  $G=(V,E)$  consists of a *vertex set*  $V$  and an *edge set*  $E$  containing unordered pairs of distinct elements from  $V$ . A *path*  $P$  in  $G$  is a sequence of vertices  $\langle v_0, \dots, v_k \rangle$  such that  $(v_{i-1}, v_i) \in E, i=1, \dots, k$ . The path  $P$  *contains* the vertices  $v_0, \dots, v_k$  and the edges  $(v_0, v_1), \dots, (v_{k-1}, v_k)$  and has *endpoints*  $v_0, v_k$ , and *internal vertices*  $v_1, \dots, v_{k-1}$ .

We will sometimes specify a graph  $G$  structurally without explicitly defining its vertex and edge sets. In such cases,  $V(G)$  will denote the vertex set of  $G$  and  $E(G)$  will denote the edge set of  $G$ . Also, if  $V' \subseteq V$  and  $v \in V$  we will use the notation  $V' \cup v$  to represent  $V' \cup \{v\}$ .

An undirected graph  $G=(V,E)$  is *connected* if there exists a path between every pair of vertices in  $V$ . For a graph  $G$  that is not connected, a *connected component* of  $G$  is an induced subgraph of  $G$  which is maximally connected.

A vertex  $v \in V$  is an *articulation point* of a connected undirected graph  $G=(V,E)$  if the subgraph induced by  $V-\{v\}$  is not connected.  $G$  is *biconnected* if it contains no articulation point.

Let  $G=(V,E)$  be a biconnected undirected graph. A pair of vertices  $v_1, v_2 \in V$  is a *separating pair* for  $G$  if the induced subgraph on  $V-\{v_1, v_2\}$  is not connected.  $G$  is *triconnected* if it contains no separating pair.

A triplet  $(v_1, v_2, v_3)$  of distinct vertices in  $V$  is a *separating triplet* of a triconnected graph if the subgraph induced by  $V-\{v_1, v_2, v_3\}$  is not connected.  $G$  is *four-connected* if it contains no separating triplets.

Let  $G=(V,E)$  be an undirected graph and let  $V' \subseteq V$ . A graph  $G'=(V',E')$  is a *subgraph* of  $G$  if  $E' \subseteq E \cap \{(v_i, v_j) \mid v_i, v_j \in V'\}$ . The *subgraph of  $G$  induced by  $V'$*  is the graph  $G''=(V',E'')$  where  $E''=E \cap \{(v_i, v_j) \mid v_i, v_j \in V'\}$ .

### 3. Representation for $k=2$

Let  $G=(V,E)$  be an undirected biconnected graph with  $n$  vertices and  $m$  edges. We denote with  $g(n)$  the upper bound on the size of a compact representation of separating pairs of a graph on  $n$  vertices. Let  $\{v_1, v_2\}$  be a separating pair that divides  $G$  into nonempty  $G_1$  and  $G_2$ . Let  $\{w_1, w_2\}$  be a "cross" separating pair with  $w_1 \in G_1$  and  $w_2 \in G_2$ . It divides  $G_1$  into  $G'_1$  and  $G''_1$ , and divides  $G_2$  into  $G'_2$  and  $G''_2$  (see Figure 1).

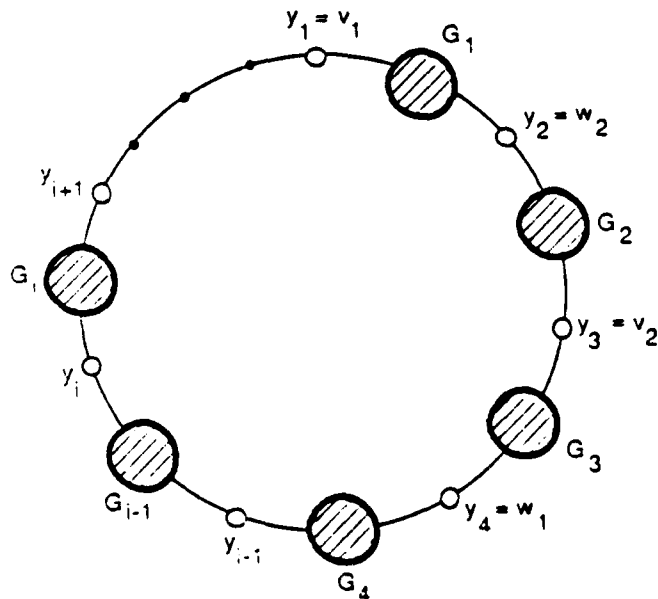


Figure 1.  
Representation for  $k=2$ .

Consider a maximal set of vertices  $u$  in  $G_2$  such that  $\{w_1, u\}$  is a cross separating pair and, analogously, consider a

maximal set of vertices  $x$  in  $G_1$  such that  $\{x, w_2\}$  is a cross separating pair. The set of  $u$ 's is the set of articulation points in  $G_2$ . Moreover, the set of  $u$ 's along with the subgraphs of  $G_2$  between them is a path from  $v_1$  to  $v_2$ . Analogously, the set  $x$ 's is a set of articulation points of  $G_1$  with additional condition that the  $x$ 's along with the subgraphs of  $G_1$  between them is a path from  $v_1$  to  $v_2$ . Number the vertices  $v_1, u$ 's,  $v_2$ , and  $x$ 's by  $y_1, y_2$  and so on going clockwise along the paths. We denote by  $G_i$  the subgraph of  $G$  between  $y_i$  and  $y_{i+1}$ . Note that some  $G_i$  can be empty (consists of a single edge). Thus, the graph  $G$  becomes a cycle with vertices  $y$ 's and  $G_i$ 's alternating on it. Every pair of vertices  $y$ 's give a separating pair of  $G$  unless they are adjacent and the subgraph between them is empty. Hence, we can represent all of them by the following structure:

- 1) the cycle: the set of vertices  $y$ 's
- 2) a vertex for every  $G_i$  with a flag to specify if  $G_i$  is empty. Edges between  $G_i$  and  $y_i, y_{i+1}$ .

Note that when there are no cross separating pairs then we get a trivial cycle with two vertices  $v_1$  and  $v_2$  and two edges connecting them. Since the sets  $x$ 's and  $u$ 's are maximal all other separating pairs are inside  $G_i \cup y_i \cup y_{i+1}$ . Note that  $G_i$  can be the union of disconnected components, but each of them is connected to  $y_i$  and  $y_{i+1}$ . Let the cardinality of set of vertices  $y$ 's be  $l$ . Based upon the above observations we get the following recurrence relation

$$g(n) \leq \sum_{i=1}^l g(n_i + 2) + 4l,$$

where  $g(n_i + 2)$  represent the upper bound for all separating pairs inside  $G_i \cup y_i \cup y_{i+1}$ . The cardinality of  $G_i = n_i$ , and  $\sum_{i=1}^l (n_i + 1) = n$ . Any  $g(n)$  that satisfy the recurrence will be an upper bound on the size of representation of separating pairs of  $G$ . Clearly, linear  $g(n)$  is one of them (see Appendix).

#### 4. Representation for $k=3$

The wheel  $W_n$  [Tu] is  $C_{n-1}$  together with a vertex  $v$  and an edge between  $v$  and every vertex on  $C_{n-1}$ . It is easy to see that  $W_n$  is triconnected and has  $\frac{(n-1)(n-4)}{2}$  separating triplets.

Assume there exists a separating triplet  $\{v_1, v_2, v_3\}$  in  $G$ , which separates  $G$  into nonempty  $G_1$  and  $G_2$  (see Figure 2).

**Lemma 1:** Only one of these three vertices has type 3 separating triplets  $\{w_1, v_i, w_2\}$  such that  $w_1 \in G_1$  and  $w_2 \in G_2$  [KaRa2].

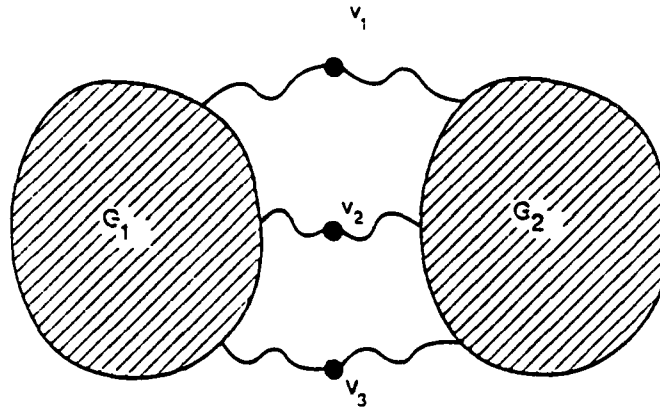


Figure 2.  
Separating  $G$  into  $G_1$  and  $G_2$  by separating triplet  $\{v_1, v_2, v_3\}$

*Proof:* Assume there is separating triplet  $\{w_1, v_2, w_2\}$  of the third type in  $G$ , where  $w_1 \in G_1$  and  $w_2 \in G_2$ . It separates  $G_1$  into  $K_1$  and  $K_2$ , and separates  $G_2$  into  $K_3$  and  $K_4$ . Vertices  $v_1$  and  $v_3$  must belong to the different components with respect to separating triplet  $\{w_1, v_2, w_2\}$ , otherwise either  $\{w_1, v_2\}$  is a separating pair, or  $\{w_2, v_2\}$  is a separating pair, or both.

**Claim 1** Vertex  $v_2$  has a direct edge to every nonempty subgraph  $K_1, K_2, K_3, K_4$ .

W.L.O.G. assume that  $K_1$  is not empty and  $\forall x \in K_1, (x, v_2) \in E$ . Then  $\{v_1, w_1\}$  is a separating pair of  $G$ , which separates  $K_1$  from the rest of the graph. □

Now, we will prove that there are no separating triplets of the third type which use  $v_1$  or  $v_3$ . We will prove this by contradiction. W.L.O.G. assume there is a separating triplet  $\{u_1, v_1, u_2\}$ , where  $u_1 \in G_1$  and  $u_2 \in G_2$  ( $u_1$  may be equal to  $w_1$  and  $u_2$  may be equal to  $w_2$ ).

*Case 1:*  $u_1 \in K_2$ , if  $K_2$  is not empty (see Figure 3).

By Claim 1 for  $v_1$  and the existence of separating triplet  $\{u_1, v_1, u_2\}$ ,  $K_1, w_1, K_2 - u_1$  belong to the same connected component with respect to separating triplet  $\{u_1, v_1, u_2\}$ . If  $v_2$  belongs to the same component then  $\{v_1, u_1\}$  is a separating pair which separates  $K_3 \cup w_2 \cup K_4 \cup v_3$  from the rest of the graph. If  $v_2$  does not belong to the same component then  $\{v_1, u_1\}$  is a separating pair which separates  $K_1 \cup w_1 \cup K_2 - u_1$  from the rest of the graph.

Analogously,  $u_2 \notin K_4$ .

*Case 2:*  $u_1 = w_1$ .

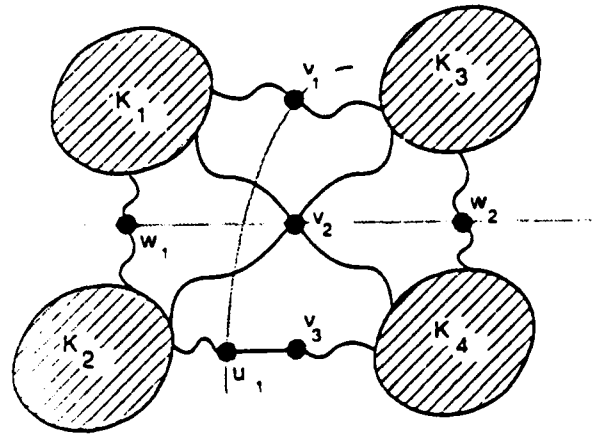


Figure 3.  
Illustrating Case 1 in the proof of Lemma 1.

Since  $\{u_1, v_1, u_2\}$  is a separating triplet then  $v_2$  does not have any edges to  $K_1$  and hence,  $K_1$  is empty by Claim 1. But then  $\{v_1, u_2\}$  is a separating pair, if  $\{u_1, v_1, u_2\}$  is a separating triplet.

Analogously,  $u_2 \neq w_2$ .

Case 3:  $u_1 \in K_1$  and  $u_2 \in K_3$ .

If  $\{u_1, v_1, u_2\}$  is a separating triplet then either  $\{u_1, u_2\}$ , or  $\{u_1, v_1\}$ , or  $\{v_1, u_2\}$  is a separating pair.

That means that if there is a separating triplet of the third type which uses one of the  $v_i, i=1,2,3$  then there are no separating triplets of the third type that use the other  $v_j, j=1,2,3, j \neq i$ .

□

Let  $\{v_1, v_0, v_2\}$  be a separating triplet of a graph  $G$  on  $n$  vertices, and  $v_0$  be the only one of the three vertices of this separating triplet which might participate in a separating triplets of the third type with respect to  $\{v_1, v_0, v_2\}$ . Consider all separating triplets of the third type  $\{w_1, v_0, w_2\}$  such that  $w_1 \in G_1$  and  $w_2 \in G_2$ , together with  $\{v_1, v_0, v_2\}$ . All such separating triplets use  $v_0$  as the "central" vertex. Rename the vertices  $w_1$ 's,  $w_2$ 's,  $v_1$  and  $v_2$  into  $\{v_1, v_2, \dots, v_l\}$  going clockwise, such that they form the wheel with  $v_0$  in a center, where any two nonadjacent vertices form a separating triplet with  $v_0$ . The subgraphs between  $v_i$  and  $v_{i+1}$  are denoted with  $G_i$ , and some of them may be empty. Now, the graph  $G$  looks like a wheel with  $v_0$  in a center  $v_i$ , and  $G_i (i=1, \dots, l)$  on a cycle.

Every pair of vertices on the cycle of the wheel form a separating triplet with  $v_0$  unless they are adjacent ( $v_i$  and  $v_{i+1}$ ) and the subgraph ( $G_i$ ) between them is empty. Hence, we can represent these separating triplets by the following structure:

- 1) the wheel:  $\{v_0, v_1, \dots, v_k\}$  with edges of  $G$
- 2) a vertex for every  $G_i$  with a flag to specify if  $G_i$  is empty. The edges between  $G_i$  and  $v_i$ ,  $v_{i+1}$  and between  $v_0$  and  $v_i$ ,  $G_i$  with flags to specify if the edge is real.

Let us see where the rest of separating triplets of  $G$  lie.

**Observation** The remaining separating triplets belong to  $G_i \cup v_0 \cup v_i \cup v_{i+1} \cup$  the neighbor of  $v_i$  in  $G_{i-1}$  if such a neighbor is unique  $\cup$  the neighbor of  $v_{i+1}$  in  $G_{i+1}$  if such a neighbor is unique.

Let  $\{w_1, w_2, w_3\}$  be a separating triplet with  $w_1 \in G_1$  and  $w_2, w_3 \in G_2$ . The separating triplet  $\{w_1, w_2, w_3\}$  separates  $G_1$  into  $L_1$  and  $L_2$ , and separates  $G_2$  into  $L_3$  and  $L_4$  (Figure 4).

Let us see how the original separating triplet  $\{v_1, v_2, v_3\}$  is separated by the separating triplet  $\{w_1, w_2, w_3\}$ .

The vertices  $\{v_1, v_2, v_3\}$  cannot belong to the same connected component of  $G$  with respect to the separating triplet  $\{w_1, w_2, w_3\}$ , otherwise either  $w_1$  would be an articulation point, or  $\{w_2, w_3\}$  would be a separating pair, or both. W.L.O.G. assume that  $v_1$  belongs to one connected component and  $v_2, v_3$  to the other.

Subgraph  $L_1$  must be empty, otherwise  $\{w_1, v_1\}$  becomes a separating pair. Since the graph is triconnected, we have

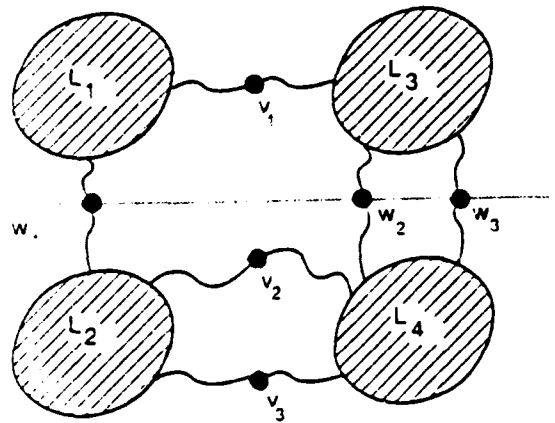


Figure 4.  
Illustrating the proof of the Observation.

- 1)  $(w_1, v_1) \in E$ ,
- 2)  $\exists x, y \in L_3 \cup w_2 \cup w_3: (x, v_1) \in E, (y, v_1) \in E$  and
- 3)  $\forall z \in L_2 \cup L_4 \cup v_2 \cup v_3: (z, v_1) \notin E$ .

Hence, vertex  $w_1$  is the unique neighbor of vertex  $v_1$  in  $G_1$ . Moreover, if there are any separating triplets with one vertex in  $G_1$  and two in  $G_2$  which separate  $v_1$  from  $v_0$  and  $v_2$ , then  $w_1$  is one of the vertices of the triplet.

A separating triplet cannot have all its three vertices in three different  $G_i$ 's otherwise two of these vertices would form a separating pair. From the proof of the Lemma 1 and the fact that the set  $\{v_1, v_2, \dots, v_k\}$  is maximal, we know that if there is a separating triplet which involves a vertex from  $G_i$ , then the other two vertices belong to  $\{v_i\} \cup \{v_{i+1}\} \cup \{v_0\} \cup G_i$  and the neighbor of  $v_i$  in  $G_{i-1}$ , if such a neighbor is unique, and symmetrically a 'unique' neighbor of  $v_{i+1}$  in  $G_{i+2}$ . This proves the Observation. □

Let  $g(n)$  be the size of a compact representation of the separating triplets in a graph on  $n$  vertices, and let the number of vertices in  $G_i$  be  $n_i$ . Then  $\sum_{i=1}^k (n_i + 1) + 1 = n$ , and we can write the following recurrence relation

$$g(n) = \sum_{i=1}^l g(n_i + 5) + (6l + 1),$$

where  $(6l + 1)$  stands for the space used to store the wheel information including multiple edges. The solution to this recurrence is clearly linear (see Appendix). This proves that there is a succinct  $O(n)$  size representation of the separating triplets.

### 5. Representation for general $k$

Let  $G=(V, E)$  be an undirected  $k$ -connected graph with  $n$  vertices and  $m$  edges. We denote with  $g(n)$  and  $f(n)$  the upper bounds on the size of representation and the number of separating  $k$ -sets for  $k$ -connected graph on  $n$  vertices. Let  $V' = \{v_1, v_2, \dots, v_k\}$  be a separating  $k$ -set, whose removal separates  $G$  into nonempty  $G_1$  and  $G_2$  (see Figure 5). A separating  $k$ -set  $\{w_1, w_2, \dots, w_k\}$  of  $G$  is a *cross separating  $k$ -set* with respect to  $V'$  if  $\exists i, j: w_i \in G_1$  and  $w_j \in G_2$ . Let the cardinalities of  $G_1$  and  $G_2$  be  $l$  and  $n-l-k$ , respectively. Let the upper bound on the size of the representation of the cross separating  $k$ -sets be  $h(l, n-l)$ , and the maximum number of cross separating  $k$ -sets be  $r(l, n-l)$ . Then any  $g(n)$  and  $f(n)$  that satisfy the recurrences

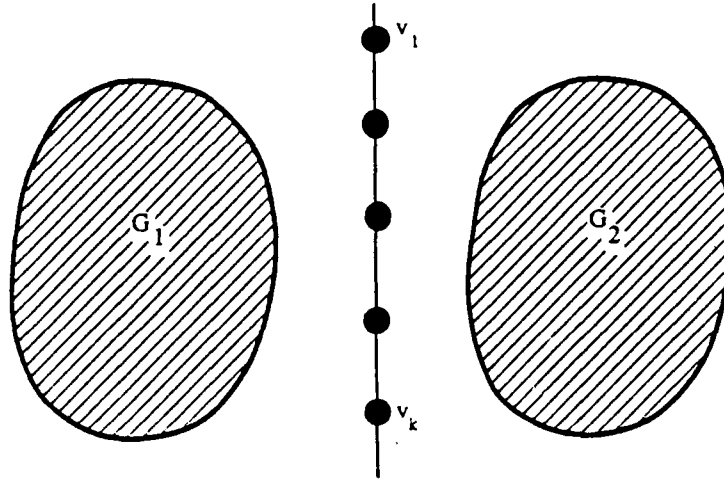


Figure 5.  
Dividing  $G$  into  $G_1$  and  $G_2$  by separating  $k$ -set  $\{v_1, \dots, v_k\}$

$$g(n) = \left[ g(l+k) + g(n-l) + h(l, n-l) \right],$$

$$f(n) = \left[ f(l+k) + f(n-l) + r(l, n-l) + 1 \right],$$

are upper bounds on the size of representation and the number of separating  $k$ -sets in  $G$ . Now we will derive upper bounds for the functions  $h$  and  $r$  and tune up the recurrences.

Let  $\{w_1, w_2, \dots, w_k\}$  be a cross separating  $k$ -set with  $\{w_1, \dots, w_s\} \subset G_1$ ,  $\{w_{s+t+1}, \dots, w_k\} \subset G_2$  and  $\{w_{s+1}, \dots, w_{s+t}\} \subset \{v_1, \dots, v_k\}$ . The separating  $k$ -set  $\{w_1, w_2, \dots, w_k\}$  separates  $G_1$  into  $G_3$  and  $G_4$ , separates  $G_2$  into  $G_5$  and  $G_6$ , and divides  $\{v_1, \dots, v_k\}$  into  $\{v_1, \dots, v_r\}$ ,  $\{v_{r+t+1}, \dots, v_k\}$  and  $v_{r+i} = w_{s+i}$ ,  $i = 1, \dots, t$ . (see Figure 6)

Case 1 None of  $G_i$ ,  $i = 3, 4, 5, 6$  are empty. (see Figure 6)

The sets  $\{w_1, w_2, \dots, w_{s+t}, v_1, \dots, v_r\}$ ,  $\{w_1, w_2, \dots, w_{s+t}, v_{r+t+1}, \dots, v_k\}$ ,  $\{v_1, \dots, v_{r+t}, w_{s+t+1}, \dots, w_k\}$  and  $\{v_{r+1}, \dots, v_k, w_{s+t+1}, \dots, w_k\}$  are separating sets of  $G$  that separate  $G_3$ ,  $G_4$ ,  $G_5$  and  $G_6$  respectively, so their cardinalities are greater than or equal to  $k$ . Then,

$$\begin{cases} s+t+r \geq k \\ r+t+k-s-t \geq k \\ s+t+k-r-t \geq k \\ k-r+k-s-t \geq k \end{cases} \Rightarrow \begin{cases} r+s+t \geq k \\ r \geq s \\ s \geq r \\ k \geq r+s+t \end{cases} \Rightarrow \begin{cases} r=s \\ r+s+t=k \end{cases}$$

From now on we replace the subscript  $r$  by  $s$ . Let  $A = \{v_1, \dots, v_s\}$ ,  $B = \{v_{s+t+1}, \dots, v_k\}$ ,  $C = \{w_1, \dots, w_s\}$ ,  $D = \{w_{s+t+1}, \dots, w_k\}$ , and  $T = \{v_{s+1}, \dots, v_{s+t}\} = \{w_{s+1}, \dots, w_{s+t}\}$ . For Case 1  $|A| = |B| = |C| = |D| = \frac{k-t}{2}$ .

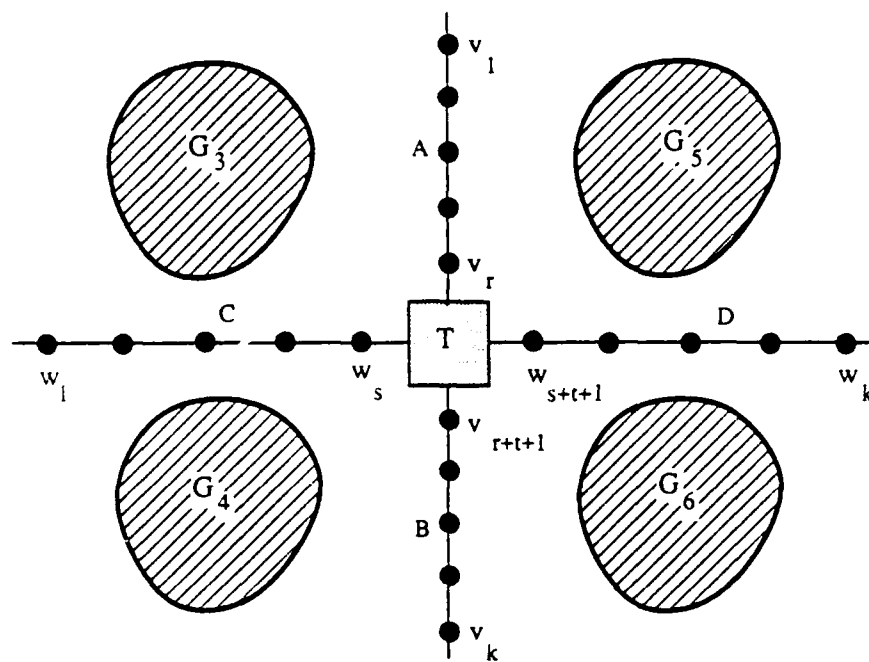


Figure 6.

Dividing  $G$  into nonempty components by separating  $k$ -sets  $\{v_1, \dots, v_k\}$  and  $\{w_1, \dots, w_k\}$ .

**Claim 2**  $\forall i \ i = s+1, \dots, t \ \exists x_j \in G_j, j = 3, 4, 5, 6: (v_i, x_j) \in E$ .

*Proof:* W.L.O.G. assume  $\exists v_i: \forall x \in G_3: (x, v_i) \notin E$ . Then  $\{v_1, \dots, v_{s+t}, w_1, \dots, w_s\} - \{v_i\}$  is a separating  $(k-1)$ -set. □

**Claim 3** For every  $x \in A$  there are  $y \in G_3$  and  $z \in G_5$ , such that  $(x, y) \in E$  and  $(x, z) \in E$ . Analogously, for every vertex  $x$  of  $B, C$  and  $D$  there are vertices  $y$  and  $z$  in appropriate neighboring  $G_i, i = 3, 4, 5, 6$ , which are adjacent to  $x$ .

*Proof:* W.L.O.G. assume there is  $x \in A$  such that for every  $y \in G_3 (x, y) \notin E$ . Then  $A \cup C \cup T - \{x\}$  is a separating  $(k-1)$ -set. □

**Lemma 2** All cross separating  $k$ -sets containing  $C \cup T$  and at least one fixed vertex of  $D$  can be represented in

$O((\frac{k-t}{2})^2)$  space, and their number is  $O(2^{\frac{k-t}{2}})$ .

*Proof:* Assume we have a separating  $k$ -set  $\{w_1, \dots, w_{s+t+a}, x_{s+t+a+1}, \dots, x_{s+t+a+b}, y_{s+t+a+b+1}, \dots, y_k\}$ , where  $x's \in G_5, y's \in G_6, a \geq 1$ , and either  $b$  or  $k-s-t-a-b$  is greater or equal to 1 (the new cross separating  $k$ -set is different from the old one) (see Figure 7).

Let  $H = \{x_{s+t+a+1}, \dots, x_{s+t+a+b}\}$  ( $x$ 's) and  $I = \{y_{s+t+a+b+1}, \dots, y_k\}$  ( $y$ 's), and let  $D$  be divided into  $D' = \{w_{s+t+1}, \dots, w_{s+t+a}\}$ ,  $E$  which is in the same connected component as  $G_3, A$ , and part of  $G_5$ , and  $F$  which is in the

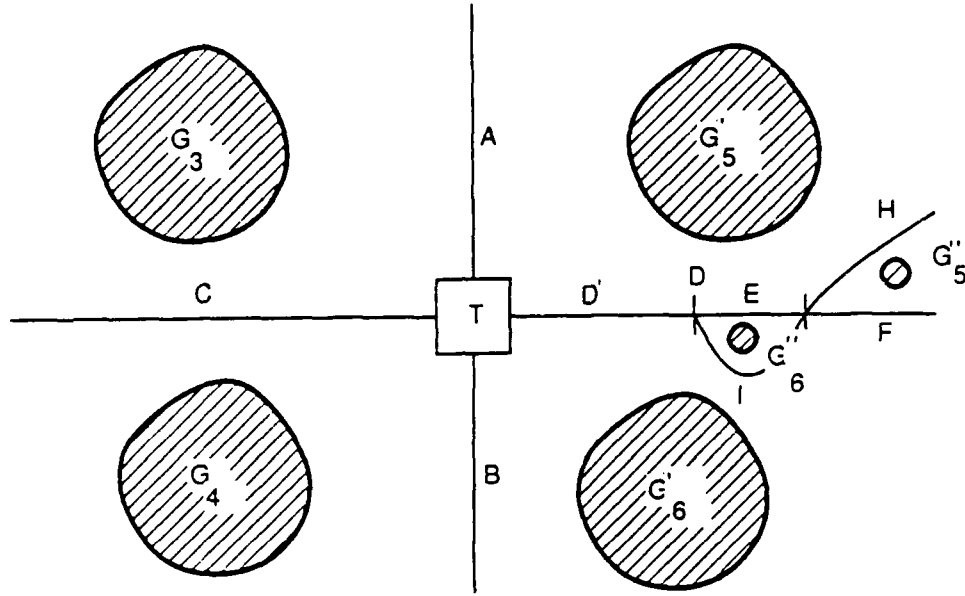


Figure 7.  
Illustrating the proof of Lemma 2.

same connected component as  $G_4$ ,  $B$  and part of  $G_6$ . Also let  $H$  divide  $G_5$  into  $G'_5$  and  $G''_5$ , and let  $I$  divide  $G_6$  into  $G'_6$  and  $G''_6$  (see Figure 7).

Separating sets  $T+D'+E+H$  and  $T+D'+F+I$  separate  $G''_5$  and  $G''_6$ , respectively. The cardinalities of these separating sets are less than  $k$ . Hence,  $G''_5$  and  $G''_6$  are empty. Moreover, since  $C+T+D'+H+F$  and  $C+T+D'+E+I$  are separating sets and  $C+T+D'$  and  $C+T+D'+H+I$  are separating  $k$ -sets,  $|E| = |H|$ , and  $|I| = |F|$ . Note that the argument still holds if either  $H$  or  $I$  are empty.

Next, we will show that if we replace part of  $E$  and/or part of  $F$  we will necessarily use only vertices of  $H$  and/or  $I$  for it, regardless of whether we replace part of  $D'$  or not. In other words,  $H$  and  $I$  are unique for  $E$  and  $F$ . The proof is by contradiction.

Assume that there exist  $I_1+H_1 \neq I+H$ , such that  $C+T+D'+H_1+I_1$  is a separating  $k$ -set. Let  $H_1 \subseteq G_5$  and  $I_1 \subseteq G_6$ . Also, let  $I_1+H_1$  divide  $E$  into  $E_1$  and  $E_2$ , and divide  $F$  into  $F_1$  and  $F_2$  (see Figure 8).

Let  $H_1$  be separated into two parts,  $H'_1$  adjacent to  $E$  and  $H''_1$  adjacent to  $F$ . By the above arguments  $H'_1$  is adjacent to  $E_1$ ,  $H''_1$  is adjacent to  $F_2$ , and  $I_1$  is adjacent to  $E_2+F_1$ . Since all neighbors of  $E$  in  $G_6$  are also in  $I$ , and all neighbors of  $F$  in  $G_5$  are also in  $H$ ,  $H''_1 \subseteq H$  and  $I_1$  is divided into  $I'_1 = I \cap I_1$  and  $I''_1 = I_1 - I'_1$ . Let  $H' = H - H''_1$  and let  $I' = I - I'_1$ .

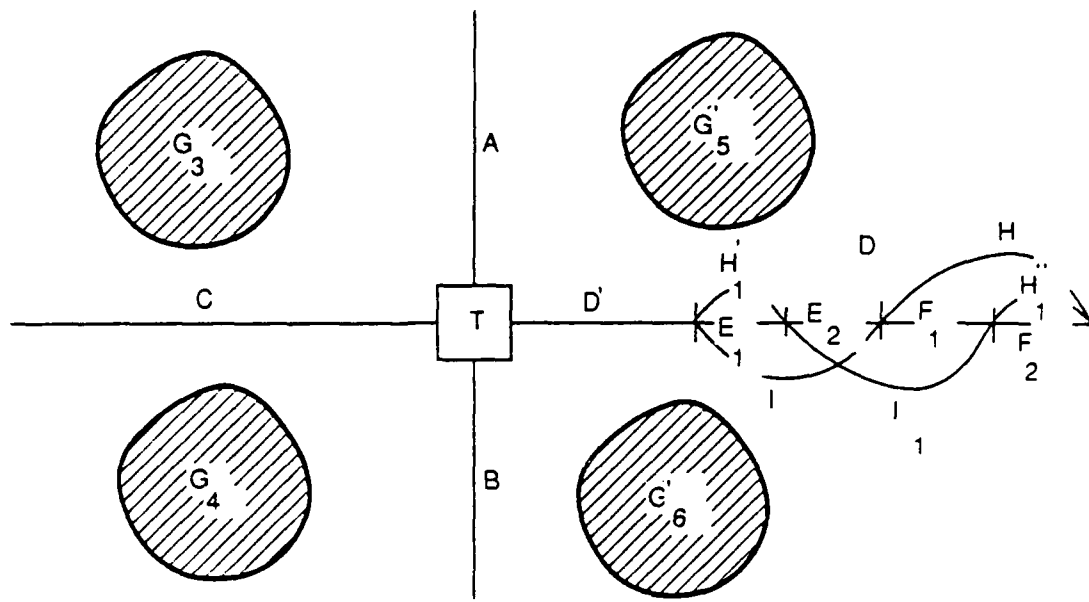


Figure 8.

Illustrating the uniqueness of a replacement for a part of cross separating  $k$ -set.

The separating set  $T + D' + H'_1 + H$  separates  $E_1$  from the rest of the graph and has cardinality is less than  $k$ . Hence,  $E_1$  is empty and we have  $I = I'_1$ ,  $E = E_2$  and  $H_1 = H''_1$ . Analogously, the separating set  $T + D' + I_1 + H$  separates  $F_1$  from the rest of the graph and has cardinality is less than  $k$ . Hence,  $F_1$  is empty and we have  $F = F_2$ ,  $E = E_1$ ,  $H = H_1$  and  $I = I_1$ . This contradict the assumptions.

Note that the arguments still hold if either  $H$  or  $I$  are empty, or if we replace only parts of  $E$  and  $F$ . If part of  $D'$  is replaced as well, then we will not replace it, so that we will look only at the replacements for  $E$  and  $F$ . Also, if there exists a separating  $k$ -set that replaces  $F$  by  $H$ , then there is no  $I_1 \subseteq G_6$  that replaces any part of  $F$  for any cross separating  $k$ -set described in Lemma 2.

Thus, any replacement of any part of  $F$  for any cross separating  $k$ -set specified by Lemma 2 lies in  $H$ . The set of vertices which is used for all possible replacement of any part of  $D$  for a cross separating  $k$ -sets specified by Lemma 2 will be called the *fringe* of  $D$ , where  $H$  is the fringe of  $F$  and  $I$  is the fringe of  $E$ . Note that there could be parts of  $D$  which do not have any replacements. The cardinality of the fringe of  $D$  is less than  $\frac{k-t}{2} = |D'|$ . Hence, the representation of all cross separating  $k$ -sets with  $C + T$  fixed along with at least one vertex from  $D$  takes  $O((\frac{k-t}{2})^2)$  space, where  $O((\frac{k-t}{2})^2)$  space is needed to specify all edges between  $D$  and its fringe. This proves the space complexity for the representation.

The number of different subsets of  $D$  is  $2^{|D|}$ . Since for every subset  $E+F$  of  $D$  there is a unique replacement, (if it exists) that a separating  $k$ -set specified by Lemma 2, the number of separating  $k$ -sets with  $C+T$  fixed along with at least one vertex from  $D$  is upper bounded by  $O(2^{\frac{k-t}{2}})$ . This proves the second part of the Lemma.  $\square$

**Corollary** All cross separating  $k$ -sets containing  $T+D$  and at least one vertex from  $C$  can be represented in  $O((\frac{k-t}{2})^2)$  space, and their number is  $O(2^{\frac{k-t}{2}})$ .

Take the maximal set  $X$  of disjoint  $C \in G_1$  such that  $C_i+T+D$  is a separating  $k$ -set. Analogously, take the maximal set  $Y$  of disjoint  $D \in G_2$  such that  $C+T+D_i$  is a separating  $k$ -set. For  $T$  fixed, all cross separating  $k$ -sets are upper bounded by  $O(2^{\frac{k-t}{2}} |X| 2^{\frac{k-t}{2}} |Y|) = O(2^{k-t} |X| |Y|)$ , and are represented in  $O((\frac{k-t}{2})^2 (|X| + |Y|))$  space. Next we will see how many different  $T$ 's we need to consider.

Take the smallest  $T = T_1$  such that a cross separating  $k$ -set will have nonempty  $G_i$   $i=3,4,5,6$ , if it exist. If there exist a separating  $k$ -set with different  $T = T_2$ ,  $T_1 \neq T_2$ , then it can be of four different types:

Type 1).  $T_2 \cap A \neq \emptyset$  and  $T_2 \cap B \neq \emptyset$ ,

Type 2).  $[T_2 \cap A = \emptyset \text{ or } T_2 \cap B = \emptyset]$  and  $T_1 \cap T_2 \neq \emptyset$ ,

Type 3).  $[T_2 \cap A = \emptyset \text{ or } T_2 \cap B = \emptyset]$  and  $T_1 \cap T_2 = \emptyset$ ,

Type 4).  $T_2 \cap A = \emptyset$  and  $T_2 \cap B = \emptyset$ .

Let us first consider type 4 cross separating  $k$ -sets. Since  $T_2$  must lie completely inside  $T_1$  and  $T_1$  has the smallest cardinality, then  $T_2 = T_1$ . Let the cardinality of  $X$ , the maximal disjoint set of  $C$ 's, be  $l_1$ , and let the cardinality of the maximal disjoint set  $Y$  be  $l_2$ , where  $l_1 + l_2 = l$ . Let us number  $A$ , the set  $X$ ,  $B$  and the set  $Y$ . So  $A$  becomes  $A_1$ , the "nearest"  $D$  from  $Y$  becomes  $A_2$ , and so on going clockwise. The cardinality of this set is  $l+2$ . From the proof of the Lemma 2 we know that all cross separating  $k$ -sets of type 4 consist of three parts:  $T_1$ ,  $C$  which is inside  $G_1$  and is inside some  $C$ 's from set  $X$  and its fringe, and  $D$  which is inside  $G_2$  and is inside some  $D$ 's from set  $Y$  and its fringe. Note that  $T \cup$  any two  $A_i, i=1, \dots, l+2$  are also separating  $k$ -sets if the parts of the graph between them are nonempty. We can also replace parts of  $A_i$  by its fringe as long the above condition will be true. Let the part of the graph  $G$  between  $A_i$  and  $A_{i+1}, i=1, \dots, l+2$  be  $G_i, i=1, \dots, l+2$  ( $i$  in this case taken mod  $l+2$ ). Let  $G_i =$  the fringe of  $A_i$  in  $G_i$  - the fringe of  $A_{i+1}$  in  $G_i$  be  $G'_i, i=1, \dots, l+2$ . The only case when  $T \cup A_i \cup A_j$  (or

parts of the fringe of  $A_i$  and  $A_{i+1}$ )  $i < j$  is not a separating  $k$ -set when  $i = j - 1$  and  $G'_i = \emptyset$ .

Based upon above observations the structure (structure 1) which covers all cross separating  $k$ -sets of type 4 will be the following:

- 1)  $A_i$  with its fringes for all  $i = 1, \dots, l+2$ ,
- 2) For every nonempty  $G'_i, i = 1, \dots, l+2$  we fill all nonexistent edges of the complete graph on the neighbors of  $G'_i$  as real edges. If  $G'_i, i = 1, \dots, l+2$  is empty for some  $i$  then we fill these edges as virtual edges. All of the edges of  $G$  between  $A_i$  and  $G_{i+1}, i = 1, \dots, l+2$  are in the structure as real edges.

Let us see where the rest of the separating  $k$ -sets lie assuming there are no cross separating  $k$ -sets of type 1 and type 2. Note that we allow separating  $k$ -sets of type 3. Let us first the definition of the exceptional separating  $k$ -sets. The separating  $k$ -set is *exceptional* if it separates only part of  $A_i$  and nothing else for  $i = 1, \dots, l+2$ .

**Lemma 3:** All separating  $k$ -sets which are not covered by the structure 2 and not of type 1 and 2 and not exceptions are inside  $G_i \cup A_i$  and its fringes inside  $G_{i-1} \cup A_{i+1}$  and its fringes inside  $G_{i+1}$ .

*Proof:* Since there are no type 1 and type 2 and no exceptions in separating  $k$ -sets, no separating  $k$ -set is using  $T$ . There are also no cross separating  $k$ -set which are not covered by the structure 1. Let us see what happens if a separating  $k$ -set crosses some  $A_i, i = 1, \dots, l+2$  (see Figure 9).

W.L.O.G. let  $E \cup F \cup H$  is this separating  $k$ -set, which crosses  $A_i$ , where  $E \subset G_5$ ,  $F \subset G_6$  and  $H \subset A_i$ . It divides  $A_i$  into  $A'_i, A''_i$  and  $H$ . It also divides  $G_5$  into  $G'_5$  and  $G''_5$ , and it divides  $G_6$  into  $G'_6$  and  $G''_6$ . Both  $A''_i$  and  $A'_i$  are nonempty, otherwise the set  $Y$  is not maximal, or there is no cross separating  $k$ -sets. If  $G''_5$  and  $G''_6$  are nonempty then  $E \cup H \cup A''_i$  and  $F \cup H \cup A''_i$  are separating sets with cardinalities bigger or equal to  $k$ . But both of them can not have cardinality bigger or equal to  $k$ , hence, one of  $G''_5$  or  $G''_6$  must be empty. W.L.O.G. let  $G''_6$  be empty. Since  $A_{l+1} \cup T \cup A_i$  and  $A_{l+1} \cup T \cup A'_i \cup H \cup F$  are separating  $k$ -set and separating set, respectively,  $|F| \geq |A''_i|$ . Since  $E \cup H \cup A''_i$  is a separating set, since both  $G''_5$  and  $G''_6$  can not be empty (exception),  $|A''_i| \geq |F|$ . Hence,  $|A''_i| = |F|$ , and  $F$  is part of the fringe of  $A_i$ .

Let us see if a cross separating  $k$ -set crosses two adjacent  $A_i$ 's. W.L.O.G.  $E \cup H_1 \cup F \cup H_2 \cup I$  is a separating  $k$ -set, which divides  $A_i$  into  $A'_i, H_1$ , and  $A''_i$ , and divides  $A_{i+1}$  into  $A'_{i+1}, H_2$ , and  $A''_{i+1}$ . It separates  $G_{i-1}$  into  $G'_{i-1}$  and  $G''_{i-1}$ , it separates  $G_i$  into  $G'_i$  and  $G''_i$ , it separates  $G_{i+1}$  into  $G'_{i+1}$  and  $G''_{i+1}$ . By the above argument,

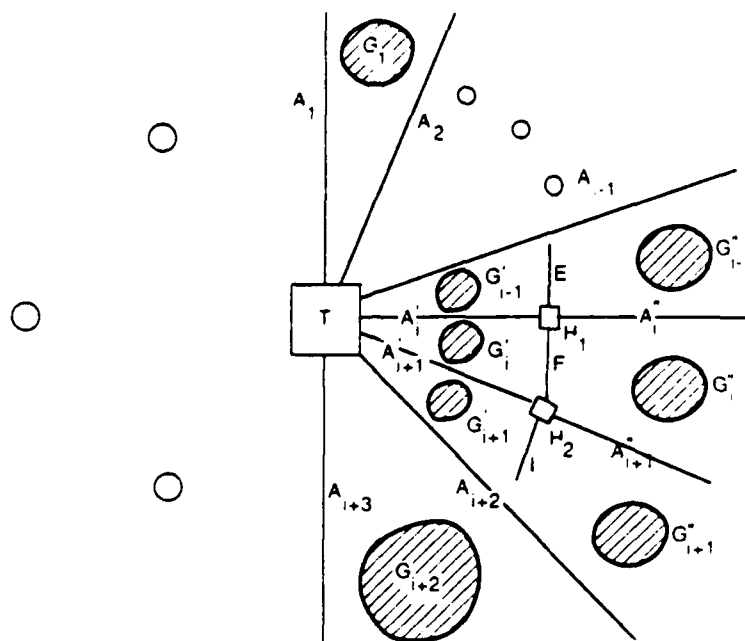


Figure 9.  
Illustrating the proof of Lemma 3.

$G'_{i-1}$  and  $G'_{i+1}$  are empty, and  $E$  belongs to the fringe of  $A_i$ , and  $I$  belongs to the fringe of  $A_{i+1}$ . Note that we don't need to use the assumption that there are no exceptions. A cross separating  $k$ -set can not cross three adjacent  $A_i$ 's, since with respect to the middle  $A_i$  non of  $G'_{i-1}$  and  $G'_{i+1}$  can not be empty. Hence, all other separating  $k$ -set, except exceptions, belong to  $G_i \cup A_i \cup$  its fringes in  $G_{i-1} \cup A_{i-1} \cup$  its fringes in  $G_{i+1}$ .

□

Let us now consider exceptions. W.L.O.G. let there exist an exceptional separating  $k$ -set, which separates part of  $A_i$ . In other words, there is a separating  $k$ -set which separates part of  $A_i$  ( $A'_i$ ), such that all of the vertices not in  $A_i \cup T$  are neighbors of  $A'_i$ . The number of the neighbors of  $A'_i$  in  $G_{i-1} \cup A_{i-1} \cup G_i \cup A_{i+1}$  is less than  $k$ . Consider the minimal set of subsets of  $A_i$  that covers all vertices of  $A_i$  which can be separated by some exceptional separating  $k$ -set. The number of subsets in this set is less than or equal to the cardinality of  $A_i$ , whence is at most  $\frac{k-t}{2}$ . The number of neighbors of  $A_i$  that are used for separating these subsets is less than or equal to  $k$  vertices per subsets, so their total is at most  $\frac{k^2}{2}$ . Note that  $\frac{k^2}{2} - k$  such vertices can be inside either  $G_{i-1} \cup A_{i-1}$  or  $G_i \cup A_{i+1}$ . Moreover, if  $v \in A_i$  participates in some subset of  $A_i$ , that can be separated by an exceptional separating  $k$ -set, then  $v$  has less than  $k$  vertices in  $G_{i-1} \cup A_{i-1} \cup G_i \cup A_{i+1}$ . Hence, if we take the union of the following sets

- 1)  $G_i \cup A_i \cup A_{i+1}$
  - 2) the neighbors of  $A_i$  in  $G_{i-1} \cup A_{i-1}$ , that are used for exceptional separating  $k$ -sets
  - 3) the fringe of  $A_i$
  - 4) the neighbors of  $A_{i+1}$  in  $G_{i+1} \cup A_{i+2}$ , that are used for exceptional separating  $k$ -sets
  - 5) the fringe of  $A_{i+1}$  for all  $i$ 's,
- will contain all separating  $k$ -sets which are not covered by the structure.

The number of exceptional separating  $k$ -set for  $A_i$  is bounded by the number of different subsets of  $A_i$ .

Hence, it is less than or equal to  $2^{\frac{k-t}{2}}$ . Thus, the number of exceptional separating  $k$ -sets is at most  $(l+2)2^{\frac{k-t}{2}}$ .

Based upon this Lemma and the above observation about exceptions, and using structure 1, we can write the following recurrence, which is valid if there are no type 1 or type 2 separating  $k$ -sets:

$$g(n) = \sum_{i=1}^{l+2} g(n_i + k(k-t) + t) + (l+2)\left(\frac{k-t}{2}\right)k + t,$$

where every term inside the sum covers one of the  $G_i$ 's, and  $(l+2)\left(\frac{k-t}{2}\right)k + t$  is the upper bound on the size of the structure 1. Note that  $\sum_{i=1}^{l+2} n_i + \frac{(l+2)(k-t)}{2} + t = n$ . The solution to this recurrence is  $O(kn + k^3)$  (see Appendix). Note that each  $(n_i + k(k-t) + t)$  is less than  $n$  itself.

Analogously, the recurrence on the upper bound on the number of separating  $k$ -sets become

$$f(n) = \sum_{i=1}^{l+2} f(n_i + k(k-t) + t) + 2^{k-t} l \frac{l+2}{2} + 2^{\frac{k-t}{2}} (l+2).$$

The solution to this recurrence is  $O(2^k \frac{n^2}{k})$ . Note that all cross separating  $k$ -set of type 3 are covered by these recurrences.

Now we will look at type 1. Let  $T_2 \cap A = T'_2$ ,  $T_2 \cap B = T''_2$ , and  $T_1 \cap T_2 = \bar{T}_2$ . With respect to a new cross separating  $k$ -set which uses  $T_2$  some  $G_i$ ,  $i=3,4,5,6$  could be empty. Let us first look at a harder case when none of  $G_i$ ,  $i=3,4,5,6$  are empty with respect to a new cross separating  $k$ -set.

A new cross separating  $k$ -set must cross  $C$  and  $D$  of the old cross separating  $k$ -set which uses  $T_1$ , otherwise the Claim 2 with respect to the new cross separating  $k$ -set will be violated (see Figure 10).

Second,  $\bar{T}_2 = T_1$ , otherwise Claim 2 will be contradicted for the old cross separating  $k$ -set.

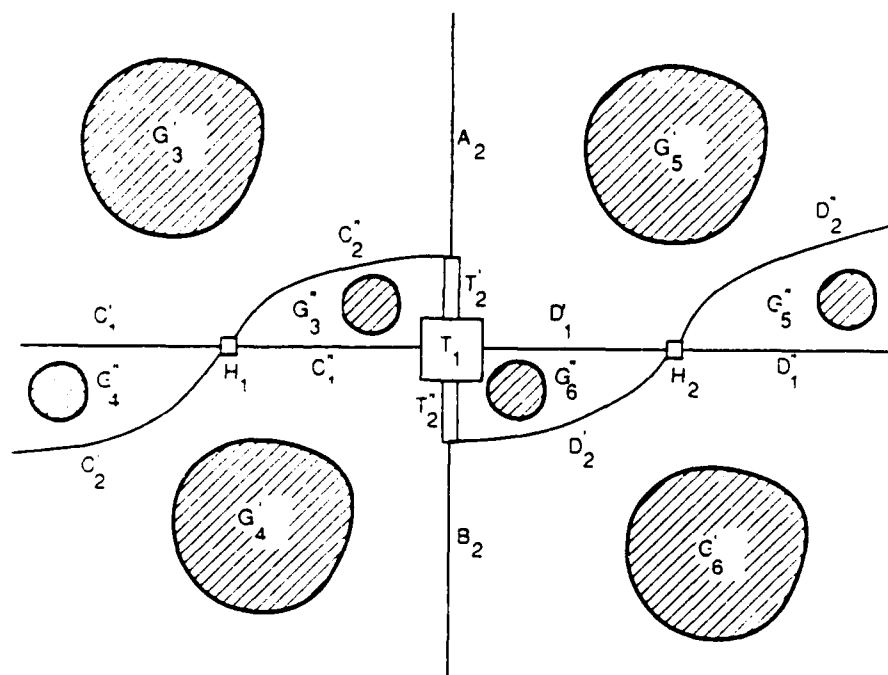


Figure 10.  
Illustrating the configuration between two cross separating  $k$ -sets  
which use different  $T$ 's.

Third,  $C'_1 + C'_2 + H_1 + T_1 + T''_2$ ,  $C''_1 + C''_2 + H_1 + T_1 + T'_2$ ,  $D'_1 + D'_2 + H_2 + T_1 + T''_2$ , and  $D''_1 + D''_2 + H_2 + T_1 + T'_2$  are separating sets with cardinalities less than  $k$ , which separate  $G''_4$ ,  $G''_3$ ,  $G''_6$ , and  $G''_5$ , respectively. Hence,  $G''_3$ ,  $G''_4$ ,  $G''_5$ , and  $G''_6$  are empty.

Fourth,  $C'_1 + H_1 + C''_2 + T_2 + D'_2 + H_2 + D''_2$ ,  $C'_2 + H_1 + C''_2 + T_2 + D'_2 + H_2 + D''_1$ ,  $C'_2 + H_1 + C''_1 + T_2 + D'_2 + H_2 + D''_2$ , and  $C'_2 + H_1 + T_2 + D'_1 + H_2 + D''_2$  are separating sets. Hence,  $|C'_1| \geq |C'_2|$ ,  $|D'_1| \geq |D'_2|$ ,  $|C''_1| \geq |C''_2|$ , and  $|D''_1| \geq |D''_2|$ . Also,  $C'_1 + H_1 + C''_2 + T_2 + T_1 + D'_1 + H_2 + D''_1$ ,  $C'_2 + T''_2 + H_1 + C''_1 + T_1 + D'_1 + H_2 + D''_1$ ,  $C'_1 + H_1 + C''_1 + T_1 + T''_2 + D'_2 + H_2 + D''_1$ , and  $C'_1 + H_1 + C''_1 + T_1 + T'_2 + D'_1 + H_2 + D''_2$  are separating sets. Hence,

$$\begin{cases} |C'_2| + |T''_2| \geq |C'_1| \geq |C'_2| > 0 \\ |C''_2| + |T'_2| \geq |C''_1| \geq |C''_2| > 0 \\ |D'_2| + |T''_2| \geq |D'_1| \geq |D'_2| > 0 \\ |D''_2| + |T'_2| \geq |D''_1| \geq |D''_2| > 0 \end{cases}$$

Also since we are still in a Case 1 with respect to both old and new cross separating  $k$ -sets, we have the following equalities

$$\begin{cases} |T'_2| = |T''_2| \\ |A_2| = |B_2| = |D'_2| + |H_2| + |D''_2| = |C'_2| + |H_1| + |C''_2| \end{cases}$$

Note that the set  $T'_2$  has edges to the set  $D''_1$ , the set  $T''_2$  has edges to the set  $D'_1$ , the set  $T''_2$  has edges to the set  $C'_1$ , and the set  $T'_2$  has edges to the set  $C''_1$ , because of the Claim 2 with respect to the new cross separating  $k$ -set. Hence, the maximal disjoint sets for  $C$ 's and  $D$ 's ( $X$  and  $Y$ ) will have cardinalities equal to 1.

Let us take a maximal  $T_2$ , and let us take the fringes of  $A_2, B_2, C$  and  $D$  (see Figure 11).

$C'_1$  does not have the fringe in  $G_4$ , otherwise part of  $C'_1$  which has a fringe becomes a part of  $I'_1$ . If  $C'_1$  has the fringe in  $G_3$  then the part of  $C'_1$  which has the fringe can be separated from the rest of the graph by a separating set  $C'_2 + T''_2 + T_1$  + the fringe of  $C'_1$  in  $G_3$ , whose cardinality is less than  $k$ . Hence,  $C'_1$  does not have the fringe. Analogously,  $C''_1, D'_1$ , and  $D''_1$  do not have the fringes. Symmetrically,  $T'_2$  and  $T''_2$  do not have the fringes.

Let  $\hat{T}_2$  be the union of vertices which are used for all possible  $T_2$  which create a cross separating  $k$ -sets with nonempty  $G_i$ ,  $i=3,4,5,6$ . Let  $\hat{D}'_1$  be the union of all possible  $D'_1$ ,  $\hat{D}''_1$  be the union of all possible  $D''_1$ ,  $\hat{C}'_1$  be the union of all possible  $C'_1$ ,  $\hat{C}''_1$  be the union of all possible  $C''_1$ ,  $\hat{C}'_2$  be the union of all possible  $C'_2$ ,  $\hat{C}''_2$  be the union of all possible  $C''_2$ ,  $\hat{D}'_2$  be the union of all possible  $D'_2$ , and  $\hat{D}''_2$  be the union of all possible  $D''_2$ . Let us show that all of these sets are disjoint.

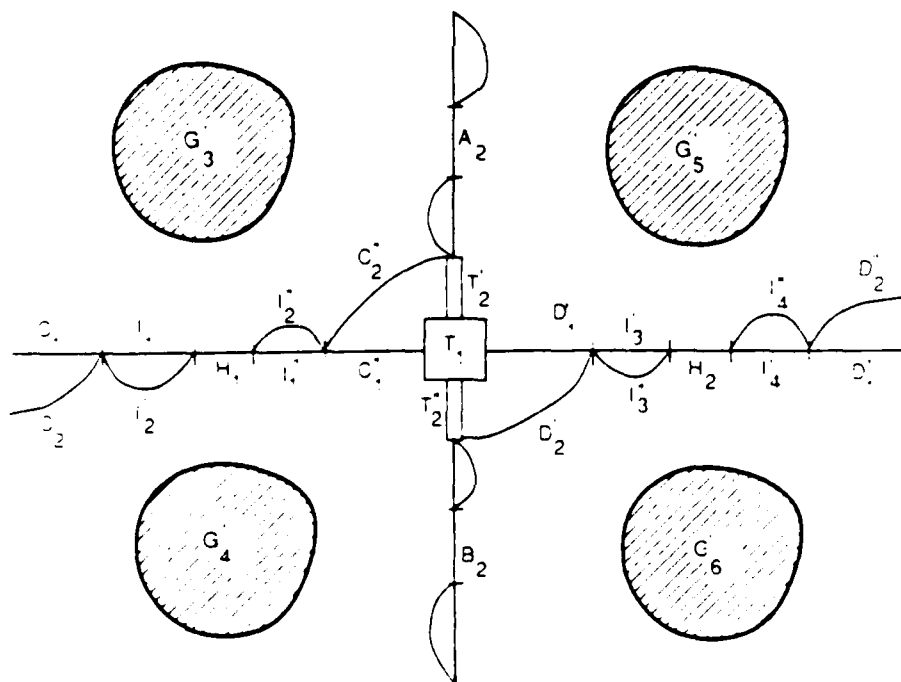


Figure 11.  
Illustrating the representation of separating  $k$ -sets of Case 1  
if two or more different intersecting  $T$ 's exist.  
(Structure 2).

Since all of them are symmetric we will prove it only for  $\hat{C}'_1$  and  $\hat{C}''_1$ . Assume there are  $T_3$  and  $T_4$  such that  $C''_1$  for  $T_3$  is not disjoint from  $C'_1$  for  $T_4$ . Then nonempty intersection of  $C''_1$  for  $T_3$  and  $C'_1$  for  $T_4$  is separated from the rest of the graph by a separating set  $C''_2$  for  $T_3 \cup T'_3 \cup T_1 \cup T'_4 \cup C'_2$  for  $T_4$ , whose cardinality is less than  $k$ . This contradiction proves the statement.

The cardinality of the union  $\hat{D}'_2 \cup \hat{D}'_2 \cup I'_4 \cup I'_4$  is less than  $\frac{k-t}{2}$ , and analogously, the cardinality of  $\hat{C}'_2 \cup \hat{C}'_2 \cup I'_1 \cup I'_2$  is less than  $\frac{k-t}{2}$ . Let us call  $\hat{C}'_2$ ,  $\hat{C}''_2$ ,  $\hat{D}'_2$ , and  $\hat{D}''_2$  the *pseudofringe*. Note that  $A$  and  $B$  might have fringes, but by the symmetry  $\hat{T}_2 - T_1$  does not have any fringes.

The structure which represent all separating  $k$ -sets for all possible  $T$ 's will the following (structure 2):

- 1) the original separating  $k$ -set with its fringes,
- 2) the cross separating  $k$ -set with minimum cardinality  $T_1$  with its fringes and pseudofringes,
- 3) for every nonempty  $G_i$ ,  $i=3,4,5,6$  we will fill all nonexistent edges of the complete graph on the neighbors of  $G_i$ , if  $G_i$  is empty for any  $i=3,4,5,6$  we will fill these nonexistent edges of this complete graph by the virtual edges. (For  $G_3$  we fill the edges between the vertices of the fringe of  $A$  in  $G_3$ ,  $T_1$ ,  $\hat{T}'_2$ , part of  $A_2$  which does not have any fringes,  $\hat{C}'_1$ ,  $I'_1$ ,  $I'_2$  and  $\hat{C}''_2$ ).

From the construction of the structure it is easy to see that this structure covers all cross separating  $k$ -sets for all possible  $T$ 's, of type 1. Let us see now where the rest of the separating  $k$ -sets lie, if we have separating  $k$ -sets of type 1.

If there exists  $T_2$  with at least one of the  $G_i$  empty  $i=3,4,5,6$ , assuming it is not exception, such that there is another  $T_2$  with  $T_2 \cap T_1$  is nonempty along with nonempty  $T_2 \cap B$  and  $T_2 \cap A$ , then all cross separating  $k$ -sets of this  $T_2$  are covered by the above structure. (They belong to the fringes of  $A$  and/or  $B$  in  $G_1$  or  $G_2$  and the rest belong to the original cross separating  $k$ -set with its fringes or pseudofringes). So all cross separating  $k$ -sets are covered by this structure, assuming there are no exceptions, hence, all separating  $k$ -sets are either inside  $G_1 \cup A \cup B \cup T_1$ , the fringes of  $A$  and  $B$  in  $G_2$ , or  $G_2 \cup A \cup B \cup T_1$  the fringes of  $A$  and  $B$  in  $G_1$ , or cross separating  $k$ -sets covered by the structure. Since the structure is symmetric, we can look at the cross separating  $k$ -sets where the original separating  $k$ -set is  $C \cup D \cup T_1$ . Then the pseudofringes of  $C$  and  $D$  become the pseudofringes of  $A$  and  $B$ . With respect to this separation of  $G$  all separating  $k$ -sets are either inside  $G_3 \cup G \cup C \cup D \cup T_1$  the fringe of  $C$  in  $G_4$  and the fringe of

$D$  in  $G_6$ , or inside  $G_4 \cup G_6 \cup C \cup D \cup T_1 \cup$  the fringe of  $C$  in  $G_3$  and the fringe of  $D$  in  $G_5$ , or separating  $k$ -sets covered by the structure. But since in both cases they are the same separating  $k$ -sets, all separating  $k$ -sets are either inside  $G_3 \cup A \cup T_1 \cup C \cup$  the fringe of  $C$  in  $G_4 \cup$  the fringe of  $A$  in  $G_5$ , or inside  $G_4 \cup B \cup C \cup T_1 \cup$  the fringe of  $B$  in  $G_6$ , or inside  $G_5 \cup A \cup D \cup T_1 \cup$  the fringe of  $A$  in  $G_3 \cup$  the fringe of  $D$  in  $G_6$ , or inside  $G_6 \cup B \cup D \cup T_1 \cup$  the fringe of  $B$  in  $G_4 \cup$  the fringe of  $D$  in  $G_5$ , or the separating  $k$ -sets covered by the structure. To cover all exceptions we will do what we did for types 3 and 4 separating  $k$ -sets, we will add  $k(k-t)$  neighbors of  $A, B, C$  and  $D$  to each of  $G_3, G_4, G_5$  and of  $G_6$  which can participate in exceptional separating  $k$ -sets. Hence, the size of representation is

$$g(n) = \sum_{i=1}^4 g(n_i + k(k-t) + t) + 8 \frac{(k-t)}{2} k + t,$$

where every term inside the sum covers one of  $G_i$   $i=3,4,5,6$  along with its appropriate neighbors and fringes, and  $8 \frac{(k-t)}{2} k + t$  is the upper bound on the size of the structure. Note that  $\sum_{i=1}^4 n_i + 2k - t = n$ , hence the solution to the above recurrence is  $O(nk + k^3)$  (see Appendix). The number of exceptional separating  $k$ -sets is upper bounded by  $4 \cdot 2^{\frac{k-t}{2}}$ . The upper bound on the number of separating  $k$ -sets become

$$f(n) = \sum_{i=1}^4 f(n_i + k(k-t) + t) + \left[ \begin{matrix} 4 \\ 3 \end{matrix} \right] \cdot 2^{k-t} + 4 \cdot 2^{\frac{k-t}{2}}.$$

The solution to it is  $O(2^k n + 2^k k^2)$  (see Appendix).

Let us now see what happens if we are in type 2 and no separating  $k$ -sets of type 1 exist. W.L.O.G. assume there is a separating  $k$ -set which uses  $T_2 = T'_2 \cup \bar{T}_2$ , where  $T'_2 \in A$  and  $\bar{T}_2 \in T_1$ , and no separating  $k$ -set of type 1 exist (see Figure 12).

If  $G_i$ 's  $i=3,4,5,6$  are nonempty with respect to a new cross separating  $k$ -set then we become in the Case 1 with respect to a new cross separating  $k$ -set, hence  $|A_2| = |B|$  which is impossible. Hence, one of the  $G_i$   $i=3,4,5,6$  with respect to a new cross separating  $k$ -set must be empty. W.L.O.G. let the empty  $G_i$  be either  $G_3$  or  $G_4$  with respect to the new cross separating  $k$ -set. If  $G_4$  is empty then  $G_5$  with respect to the new cross separating  $k$ -set must be empty, otherwise  $T_1 \cup T'_2 \cup A_2 \cup D_2$  of the new cross separating  $k$ -set becomes a separating set with cardinality less than  $k$ . Hence, if  $G_4$  is empty then all cross separating  $k$ -set of type 2 belong to the original separating  $k$ -set with its fringes. Then all separating  $k$ -set are either inside  $G_1 \cup A \cup B \cup T_1 \cup$  the fringe of  $A$  in  $G_3 \cup$  the fringe of  $B$  in  $G_6$ , or  $G_5 \cup A \cup B \cup T_1 \cup$  the fringe of  $A$  in  $G_3 \cup$  the fringe of  $B$  in  $G_4$ , or they belong to the union of  $A \cup B \cup T_1 \cup$  the fringes of  $A$  and  $B$ . Note that the latter separating  $k$ -sets are covered by the structure 2. We can write the recurrences



all symmetric cases, and since we don't have any cross separating  $k$ -sets of type 1, all cross separating  $k$ -sets of the type 2 belong to  $G_3 \cup A \cup C \cup T_1 \cup$  the fringe of  $A$  in  $G_5 \cup$  the fringe of  $C$  in  $G_4$ , or  $G_4 \cup B \cup C \cup T_1 \cup$  the fringe of  $B$  in  $G_6 \cup$  the fringe of  $C$  in  $G_3$ , or  $G_5 \cup A \cup D \cup T_1 \cup$  the fringe of  $A$  in  $G_3 \cup$  the fringe of  $D$  in  $G_6$ , or  $G_6 \cup B \cup D \cup T_1 \cup$  the fringe of  $B$  in  $G_4 \cup$  the fringe of  $D$  in  $G_5$ . Note that  $C$ 's and  $D$ 's are not the same in these sets. In case of  $G_3$   $C$  is "nearest" to  $A$ , in case of  $G_4$   $C$  is "nearest" to  $B$ , in case of  $G_5$   $D$  is "nearest" to  $A$ , and in case of  $G_6$   $D$  is "nearest" to  $B$ . Let us see where the rest of separating  $k$ -sets must lie. First, if there are no cross separating  $k$ -sets with  $G_5$  nonempty (or same other appropriate symmetric  $G_i$   $i=3,4,5,6$ ) then it is still possible to have a cross separating  $k$ -sets.

All cross separating  $k$ -sets consist of three parts: part one is in  $G_1$ , part two is in  $G_2$  and part three is  $T_1$ . Part one belongs to some  $C$  from the set  $X$  or its fringe or the fringe of  $A$  in  $G_3$  or the fringe of  $B$  in  $G_4$ . Part two belongs to some  $D$  from the set  $Y$  or its fringe or the fringe of  $A$  in  $G_5$  or the fringe of  $B$  in  $G_6$ . That covers all cross separating  $k$ -sets which use  $T_1$ , otherwise either set  $X$  or set  $Y$  is not maximal. We don't have any cross separating  $k$ -sets of type 1. All cross separating  $k$ -sets of type 2 with nonempty appropriate  $G_i$  with respect to them belong to the part of the graph between  $A$  and the nearest  $D$  in  $G_2$  along with  $A$  and its fringe and  $D$  and its fringe. Hence, all other separating  $k$ -sets belong to  $G_1 \cup A \cup B \cup T_1$  with its fringes, or  $G_2 \cup A \cup B \cup T_1$  with its fringes.

Hence, all cross separating  $k$ -sets of type 2, except exceptions are covered by the structure 2 or inside the subgraphs associated by  $G_1, G_{l+1}, G_{l+2}$  and  $G_{l+2}$ . As for the exceptions the upper bounds we got for types 3 and 4 still hold, since no part of  $T_1$  can be separated by them (otherwise Claim 2 is contradicted). So, the recurrence which were written for the type 3 and 4 separating  $k$ -sets covers type 2 cross separating  $k$ -sets also, including exceptions. That conclude Case 1. □

**Case 2** For any separating  $k$ -set every cross separating  $k$ -set will have one of the  $G_i$   $i=3,4,5,6$  empty. Not every vertex in both  $G_1$  and  $G_2$  can be used for cross separating  $k$ -sets.

W.L.O.G. let  $G_3$  will be empty (see Figure 13).

Since  $G_4$  is nonempty by assumption, and  $G_5$  is nonempty since there are no exception,  $C \cup T \cup B$  and  $A \cup T \cup D$  are separating sets. So their cardinalities are bigger or equal to  $k$ , hence,  $|C| = |A|$  and  $|B| = |D|$ . So,  $C$  is part of the fringe of  $A$  in  $G_1$ . Since this true for every  $T$ , all cross separating  $k$ -sets belong to  $G_1 \cup A \cup T \cup B \cup$  the fringes of

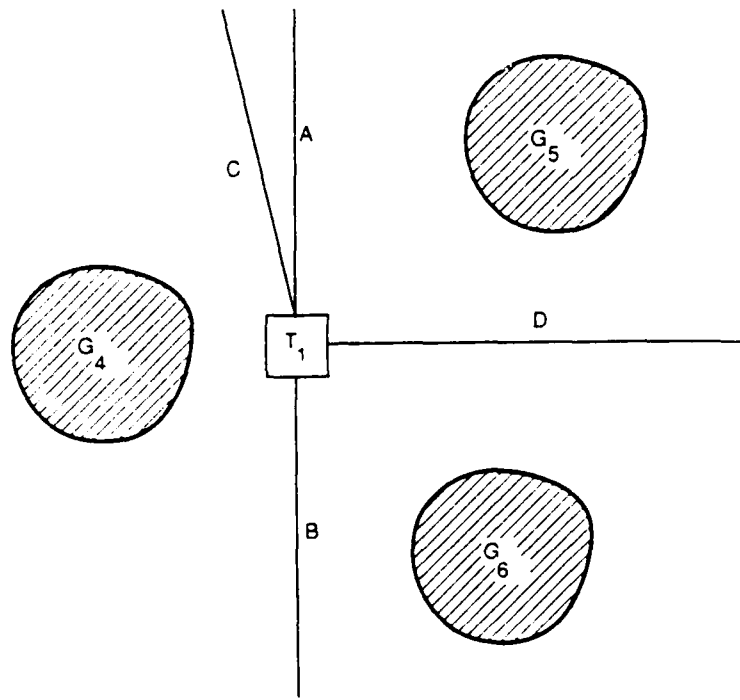


Figure 13.  
Illustrating Cases 2 and 3.

$A$  and  $B$  in  $G_2$ , or  $G_2 \cup A \cup T \cup B$  the fringes of  $A$  and  $B$  in  $G_1$ , except for exceptions. So all separating  $k$ -sets including the exceptions are either inside  $G_1 \cup A \cup B \cup T$  appropriate at most  $k^2$  neighbors of  $A \cup T \cup B$  in  $G_2$  or inside  $G_2 \cup A \cup B \cup T$  appropriate at most  $k^2$  neighbors of  $A \cup T \cup B$  in  $G_1$  which are used in exceptional separating  $k$ -sets. Hence,

$$g(n) = g(n_1 + k(k-1)) + g(n_2 + k(k-1)) + 4k^2,$$

where  $n_1$  and  $n_2$  are the cardinalities of  $G_1$  and  $G_2$ . We still have that  $n_1 + n_2 + k = n$ , and the solution to this recurrence is  $O(k^2 + n)$  (see Appendix). Note that  $n_i + k(k-1) < n$  for  $i=1,2$ .

For the upper bound on the number of separating  $k$ -sets we get the following equality

$$f(n) = f(n_1 + 2k) + f(n_2 + 2k) + 2^k,$$

where  $2^k$  covers all exceptional separating  $k$ -sets. And its solution is clearly smaller than  $O(2^k \frac{n^2}{k})$  (see Appendix).

That conclude Case 2. □

**Case 3** For every separating  $k$ -set all cross separating  $k$ -sets are lopsided (one of the  $G_i$ ,  $i=3,4,5,6$  will be empty). And either  $G_1$  or  $G_2$  are such that every vertex of them is used for some cross separating  $k$ -set.

W.L.O.G. let  $G_3$  be empty and the smallest  $G_1$  every vertex of  $G_1$  is used for some cross separating  $k$ -set (see Figure 13). There are two subcases: either  $G_5$  or  $G_6$  are empty, otherwise we will be in Case 2. Take  $C$  as large as

possible.

If  $G_6$  is empty then  $A \cup B \cup C \cup D \cup T$  with all edges between them and filling real edges for nonempty  $G_5$  and  $G_4$  and virtual otherwise (analogous to the structure 1) will specify all cross separating  $k$ -sets. If  $G_5$  is empty then  $C \cup T \cup D$  separate  $A$  from the rest of the graph. Hence,  $C \cup T \cup D$  is an exceptional separating  $k$ -set. So the third structure will be the following:

- 1)  $A, B$  and  $T$  - the original separating  $k$ -set,
- 2) All the neighbors of  $A \cup B \cup T$  that are used for a cross separating  $k$ -sets with edges between them and the original separating  $k$ -set.

since the remaining separating  $k$ -sets are inside  $G_2 \cup A \cup B \cup T$ , we derive the following recurrence relation:

$$g(n) = g(n-1) + k^2,$$

whose solution is  $f(n) = O(k^2 n)$ . Analogously, we have the following recurrence relation for the upper bound on the number of separating  $k$ -sets

$$f(n) = f(n-1) + 2^k,$$

whose solution is  $O(2^k n)$ .

□

That conclude the proof of all cases. Our final result is that all separating  $k$ -sets have  $O(k^2 n)$  space representation, and their number is  $O(2^k \frac{n^2}{k})$ .

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## APPENDIX

$$\sum_{i=1}^l (n_i + 1) = n \quad 2 \leq l \leq n \quad n_i \geq 0$$

$$g(n) \leq \max_l \left( \sum_{i=1}^l g(n_i + 2) + 4l \right)$$

$$\text{Let } g(n) = 4n - 16,$$

$$g(n) \leq \max_l \left( \sum_{i=1}^l g(n_i + 2) + 4l \right) = \max_l \left( \sum_{i=1}^l (4(n_i + 2) - 16) + 4l \right) =$$

$$\max_l (4 \sum_{i=1}^l (n_i + 1) + 4l - 16l + 4l) = \max_l (4n - 8l) \leq 4n - 16$$

$$\sum_{i=1}^l (n_i + 1) + 1 = n \quad 2 \leq l \leq n-1 \quad n_i \geq 0$$

$$g(n) \leq \max_l \left( \sum_{i=1}^l g(n_i + 5) + 6l + 1 \right)$$

$$\text{Let } g(n) = 6n - 55,$$

$$g(n) \leq \max_l \left( \sum_{i=1}^l g(n_i + 5) + 6l + 1 \right) = \max_l \left( \sum_{i=1}^l (6(n_i + 5) - 55) + 6l + 1 \right) =$$

$$\max_l (6 \sum_{i=1}^l (n_i + 1) + 6l + 1 - 31l + 6l + 1) = \max_l (6n - 25l - 5) \leq 6n - 55$$

$$\sum_{i=1}^l \left( n_i + \frac{k-t}{2} \right) + t = n \quad 0 \leq t \leq k-2 \quad 2 \leq l \leq 2 \frac{n-t}{k-t} \quad n_i \geq 0$$

$$g(n) \leq \max_l \left( \sum_{i=1}^l g(n_i + (k-t)k + t) + lk \frac{(k-t)}{2} + t \right)$$

$$\text{Let } g(n) = 2nk - 4k^3 - 2k^2t + \frac{1}{2}k^2 - 3kt - t,$$

$$\begin{aligned}
g(n) &\leq \max_l \left( \sum_{i=1}^l g(n_i + (k-i)k + i) + lk \frac{k-i}{2} + i \right) \leq \\
&\max_l \left( \sum_{i=1}^l 2k(n_i + k(k-i) + i) - 4k^3l + 2k^2il + \frac{1}{2}k^2l - kil - il + lk \frac{k-i}{2} + i \right) = \\
&\max_l \left( 2k \left( \sum_{i=1}^l (n_i + \frac{k-i}{2}) + i \right) - 2kl \frac{k-i}{2} - 2kt + 2k^2l(k-i) + 2k^2il - 4k^3l + 2k^2il + \frac{1}{2}k^2l - 3kil - il + lk \frac{k-i}{2} + i \right) = \\
&\max_l (2kn + 2k^3(l-2l) + 2k^2l(-l+i) + k^2(\frac{1}{2}l + \frac{l}{2} - l) + kl(l-2+2l - \frac{l}{2} - 3l) + i(-l+1)) \leq \\
&2kn - 4k^3 - 3kt + i \leq 2kn - 4k^3 + 2k^2l + \frac{1}{2}k^2 - 3kt - i
\end{aligned}$$

Hence,  $g(n) = O(nk + k^3)$ .

$$\sum_{i=1}^l (n_i + \frac{k-i}{2}) + i = n \quad 2 \leq l \leq 2 \frac{k-i}{k-i} \quad 0 \leq i \leq n-2$$

$$f(n) \leq \max_l \left( \sum_{i=1}^l f(n_i + k(k-i) + i) + 2^{k-i} \frac{l(l-2)}{2} + 2^{\frac{k-i}{2}} l \right)$$

Let

$$\begin{aligned}
f(n) &= 2^{k-i}nl - 2^{k-i}k^2l + 2^{k-i}kil + \frac{1}{2}2^{k-i}kl - \frac{3}{2}2^{k-i}il + 2^{k-i}kt + \frac{1}{2}2^{k-i}k - 2 \cdot 2^{k-i}k^2 - 2^{k-i}l - \frac{1}{2}2^{k-i}l - 2 \cdot 2^{\frac{k-i}{2}}, \\
f(n) &\leq \max_l \left( \sum_{i=1}^l (n_i k(k-i) + i) 2^{k-i}l - 2^{k-i}k^2l^2 + 2^{k-i}kil^2 + \frac{1}{2}2^{k-i}kl^2 - \frac{3}{2}2^{k-i}il^2 + 2^{k-i}kil + \right. \\
&\quad \left. \frac{1}{2}2^{k-i}kl - 2 \cdot 2^{k-i}k^2l - 2^{k-i}il - \frac{1}{2}2^{k-i}l^2 - 2 \cdot 2^{\frac{k-i}{2}} + \frac{1}{2}2^{k-i}l^2 - \frac{1}{2}2^{k-i}l + 2^{\frac{k-i}{2}} l \right) = \max_l (2^{k-i}ln - \\
&\quad \frac{1}{2}2^{k-i}kl^2 + \frac{1}{2}2^{k-i}il^2 - 2^{k-i}il + 2^{k-i}k^2l^2 - 2^{k-i}kil^2 + 2^{k-i}il^2 - 2^{k-i}k^2l^2 + 2^{k-i}kil^2 + \frac{1}{2}2^{k-i}kl^2 - \\
&\quad \frac{3}{2}2^{k-i}il^2 + 2^{k-i}kil + \frac{1}{2}2^{k-i}kl - 2 \cdot 2^{k-i}k^2l - 2^{k-i}il - \frac{1}{2}2^{k-i}l^2 - 2 \cdot 2^{\frac{k-i}{2}} l + \frac{1}{2}2^{k-i}l^2 - \frac{1}{2}2^{k-i}l + 2^{\frac{k-i}{2}} l) = \\
&\quad \max_l (2^{k-i}ln - 2 \cdot 2^{k-i}k^2l + 2^{k-i}kil + \frac{1}{2}2^{k-i}kl - 2 \cdot 2^{k-i}kl - 2 \cdot 2^{k-i}il - \frac{1}{2}2^{k-i}l - 2^{\frac{k-i}{2}} l) \leq \\
&\max_l (2^{k-i}ln - 2^{k-i}k^2l + 2^{k-i}kil + \frac{1}{2}2^{k-i}kl - \frac{3}{2}2^{k-i}il + 2^{k-i}kt + \frac{1}{2}2^{k-i}k - 2 \cdot 2^{k-i}k^2 - 2^{k-i}l - \frac{1}{2}2^{k-i}l - 2 \cdot 2^{\frac{k-i}{2}})
\end{aligned}$$

Hence,  $f(n) = O(2^k \frac{n^2}{k} + 2^k nk)$ .

$$\sum_{i=1}^4 n_i + 2k - t = n \quad 0 \leq t \leq k-2$$

$$g(n) \leq \sum_{i=1}^4 g(n_i + k(k-t) + t) + 8k \frac{k-t}{2} + t$$

$$\text{Let } g(n) = 4nk - \frac{16}{3}k^3 + \frac{16}{3}k^2t + \frac{4}{3}k^2 - \frac{16}{3}kt - \frac{1}{3}t,$$

$$g(n) \leq \sum_{i=1}^4 g(n_i + k(k-t) + t) + 4(k-t)k + t \leq$$

$$\sum_{i=1}^4 (4(n_i + k(k-t) + t)k - \frac{16}{3}k^3 + \frac{16}{3}k^2t + \frac{4}{3}k^2 - \frac{16}{3}kt - \frac{1}{3}t) + 4(k-t)k + t =$$

$$4k(\sum_{i=1}^4 n_i + 2k - t) - 8k^2 + 4kt + 16k^3 - 16k^2t + 16kt - \frac{64}{3}k^3 + \frac{64}{3}k^2t + \frac{16}{3}k^2 - \frac{64}{3}kt - \frac{4}{3}t + 4k^2 - 4kt + t =$$

$$4kn + k^3(16 - \frac{64}{3}) + k^2t(\frac{64}{3} - 16) + k^2(\frac{16}{3} - 8 + 4) + kt(4 + 16 - \frac{64}{3} - 4) + t(1 - \frac{4}{3}) =$$

$$4kn - \frac{16}{3}k^3 + \frac{16}{3}k^2t + \frac{4}{3}k^2 - \frac{16}{3}kt - \frac{1}{3}t$$

Hence,  $g(n) = O(nk + k^3)$ .

$$\sum_{i=1}^4 (n_i + \frac{k-t}{2}) + t = n \quad 0 \leq t \leq n-2$$

$$f(n) \leq \sum_{i=1}^4 f(n_i + k(k-t) + t) + 6 \cdot 2^{k-t} + 4 \cdot 2^{\frac{k-t}{2}}$$

$$\text{Let } f(n) = 2^{k-t}n - \frac{4}{3}2^{k-t}k^2 + \frac{4}{3}2^{k-t}kt - \frac{5}{3}2^{k-t}t + \frac{2}{3}2^{k-t}k - 2 \cdot 2^{k-t} - \frac{4}{3}2^{\frac{k-t}{2}},$$

$$f(n) \leq \sum_{i=1}^4 f(n_i + k(k-t) + t) + 6 \cdot 2^{k-t} + 4 \cdot 2^{\frac{k-t}{2}} \leq \sum_{i=1}^4 (2^{k-t}(n_i + k(k-t) + t) - \frac{4}{3}2^{k-t}k^2 + \frac{4}{3}2^{k-t}kt -$$

$$\frac{5}{3}2^{k-t}t + \frac{2}{3}2^{k-t}k - 2 \cdot 2^{k-t} - \frac{4}{3}2^{\frac{k-t}{2}}) + 6 \cdot 2^{k-t} + 4 \cdot 2^{\frac{k-t}{2}} = 2^{k-t}n - 2^{k-t}k + 2 \cdot 2^{k-t}t - 2^{k-t}t +$$

$$4 \cdot 2^{k-t}k^2 - 4 \cdot 2^{k-t}kt + 4 \cdot 2^{k-t}t - \frac{16}{3}2^{k-t}k^2 + \frac{16}{3}2^{k-t}kt - \frac{20}{3}2^{k-t}t + \frac{8}{3}2^{k-t} - \frac{16}{3}2^{\frac{k-t}{2}} + 6 \cdot 2^{k-t} + 4 \cdot 2^{\frac{k-t}{2}} =$$

$$2^{k-t}n - \frac{4}{3}2^{k-t}k^2 + \frac{4}{3}2^{k-t}kt - \frac{5}{3}2^{k-t}t + \frac{2}{3}2^{k-t}k - 2 \cdot 2^{k-t} - \frac{4}{3}2^{\frac{k-t}{2}}$$

$$n_1 + n_2 + k = n \quad n_1, n_2 \geq 0$$

$$g(n) \leq g(n_1 + k(k-1)) + g(n_2 + k(k-1)) + 4k^2$$

$$\text{Let } g(n) = n - 6k^2 + 3k,$$

$$g(n) \leq n_1 + k^2 - k - 6k^2 + 3k + n_2 + k^2 - k - 6k^2 + 3k + 4k^2 = n - 6k^2 + 3k$$

$$n_1 + n_2 + k = n \quad n_1, n_2 \geq 0$$

$$f(n) \leq f(n_1 + 2k) + f(n_2 + 2k) + 2^k$$

$$\text{Let } f(n) = 2^k n - 3 \cdot 2^k k - 2^k,$$

$$f(n) \leq 2^k n_1 + 2k2^k - 3 \cdot 2^k k - 2^k + 2^k n_2 + 2k2^k - 3 \cdot 2^k k - 2^k + 2^k = 2^k n - 3 \cdot 2^k k - 2^k$$

END

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